

(Item 1 Cover Page)



BROCHURE

Great Bay Wealth Management LLC

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Firm Contact: Stephen D. Johnson, President

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June 19, 2017

This Brochure provides you with information about the qualifications and business practices of *Great Bay Wealth Management LLC*. It contains information that you should consider before becoming a client of our firm.

The information contained herein has not been approved or verified by any governmental authority. Our firm is an investment advisory firm registered pursuant to the laws of the State of Georgia. Registration of an Investment Adviser does not imply a certain level of skill or training, only that we have filed the appropriate registration documents in the appropriate jurisdictions and with the respective governmental entities.

Additional information about Great Bay Wealth Management LLC can be found on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov. Our firm's CRD/IARD No. is 170686.

The Brochure Supplement for the investment advisor representative of our firm begins after page 11 of this document. This document is not complete without the Brochure Supplement.

MATERIAL CHANGES (Item 2)

We currently have no material changes to report. This version of our Brochure dated June 19, 2017 is an initial version. Great Bay Wealth Management LLC is a new Georgia domiciled investment advisor registrant.

In the future, information regarding interim and annual updates to our business model or advisory practices will be outlined in this section of our Brochure.

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ADVISORY SERVICES (Item 4)

About Our Business

Great Bay Wealth Management LLC (hereinafter, “Great Bay Wealth Management”, the “Firm”, “our”, or “we”) is an investment consultancy firm that offers portfolio management strategies and advisory consultations. We are a Georgia limited liability company that conducts advisory business in the states of Georgia and Texas. We began managing our clients’ investments and providing financial expertise in January 2014. The firm ceased operations for a short period a time from September 2016 to June 2017, but has now resumed operations.

Our firm’s President and sole owner is Stephen D. Johnson. Mr. Johnson is also chief compliance officer and the investment advisor representative for our firm.

Types of Advisory Services

We provide investment management services to individuals, corporations, charitable organizations, and pension & profit sharing plans. Our investment management services assist clients in planning for financial goals by constructing and managing investment portfolios, recommending the use of specific asset allocation strategies, and providing advisement regarding investment selection and performance management. A detailed explanation of our services is as follows:

1. Investment Management Services

We offer discretionary investment management services, personalized asset allocations and portfolio construction to meet our client’s investment goals and objectives. Our advice regarding financial securities encompasses mutual funds, equities, exchange traded funds, and fixed income securities. We also utilize equities of international companies and markets, including emerging markets, to provide diversification and to capitalize on optimal investment opportunities. Our strategies may also include the use of options.

2. Advisory Consultation Services

We also provide one-time (or on-going) advisory consultations for periodic investment supervisory services, long-term investment planning, investment performance analysis, or financial research.

Tailored Services

Our advice and services are based on individual needs of our clients, after analyzing and evaluating the stated goals, objectives, investment horizon, and risk tolerance thoroughly. Clients may impose restrictions on investing in certain asset classes or any specific types of securities by advising their investment advisor representative of such restrictions.

Wrap Fee Programs

Great Bay Wealth Management is not a sponsor of, or participant in, any Wrap Fee Program(s).

Assets under Management

Great Bay Wealth Management has recently resumed operations consequently, there are no assets under management to report. Upon securing clients, the Firm will amend this section of its Brochure as required by regulations.

FEES AND COMPENSATION (Item 5)

Advisory Fees

Great Bay Wealth Management earns its fees and compensation by providing investment management services and advisory consultations. Our standard fees for services are as follows:

1. Investment Management Fees

Our fee schedule for Investment Management Services is as follows:

Assets Under Management	Annual Rate
Less than \$250,000	2.0%

\$250,000 to \$500,000	1.75%
\$500,001 to \$750,000	1.5%
\$750,001 to \$1,000,000	1.25%
\$1,000,001 to \$2,500,000	1.0%
\$2,500,001 to \$5,000,000	.85%
\$5,000,001 or more	.75%

Sample Fee Calculation:Investments of \$850,000

\$850,000 @ 1.25%

Quarterly fee of \$2,656.25 or Annual fee of \$10,625

Our Investment Management fee schedule is negotiable and the final fee is outlined in our Investment Management Agreement.

2. Advisory Consultation Fees

Our fees for advisory consultations are \$150.00 per hour (min. 1 hour) and are based on the specific consultative need or frequency, and scope of quantitative analysis requested by client. Our fees for advisory consultations are negotiable and the final fees, as agreed upon, will be outlined in our Agreement for Advisory Consultation Services.

Billing Procedures

The specific details of our billing procedures are as follows:

1. Fees for Investment Management Services

(a) Fees for investment management services are billed quarterly in arrears, meaning client invoices for advisory fees are transmitted, electronically or otherwise, at the end of each calendar quarter. The fee assessment is based on the value of the account as of the close of trading on the last day of the quarter. The Firm customarily receives written authorization to deduct advisory fees directly from clients' accounts and in such cases, fee invoices are sent to the account custodian.

(b) Clients may also pay investment management fees directly by mailing a check to our address listed herein, no later than the tenth (10th) business day after receipt of invoice.

2. Fees for Advisory Consultation Services

Fees for advisory consultations are billed as agreed to by our firm and client. Depending on the frequency of consultations, clients may choose monthly, quarterly, or annual billing intervals. Invoices will be transmitted to clients, either in person, electronically or by mail and are due upon receipt.

Other Fees & Expenses

Clients will also incur additional fees and expenses related to management of investments and advisory service provisions. These fees may include, but are not limited to no-load mutual fund ticket charges, brokerage transaction costs, deferred sales charges on previously purchased mutual funds, IRA maintenance fees and other legal or transfer fees. The broker-dealers, mutual fund companies, and other custodians who provide account services charge these fees ("third party fees") and clients are responsible for payment of all third party fees and expenses. It is important to note that the advisory fees paid to our firm are separate and distinct from the maintenance fees and transaction expenses charged by these third parties. Please refer to Item 12, Brokerage Practices for more information regarding our account custodian.

Refund Policy

Clients who do not receive this Brochure at least 48 hours in advance of signing our advisory agreement are afforded the right to terminate their agreement within five (5) days, without penalty. Upon expiration of the five (5) day period, either party may terminate the advisory agreement at any time by providing written notice to the other party. In the event of termination prior to the commencement of a calendar quarter, any prepaid, unearned refundable fees will be refunded promptly, and any earned, unpaid fees due to the Firm will be collected prior to disbursement of funds, if any. In the event the Firm is unable to deduct final fees

from account (in the case of transfer), the Firm will transmit an invoice to client, which is due upon client's receipt.

Other Compensation

Neither Great Bay Wealth Management nor its investment advisor representative accepts any compensation for the sale of securities or other investment products, including asset-based sales charges or services fees from the sale of mutual funds. The investment advisor representative of the Firm is not registered in any investment sales capacity.

PERFORMANCE-BASED FEES AND SIDE-BY-SIDE MANAGEMENT (Item 6)

We do not charge performance-based fees and we do not conduct side-by-side investment product management.

TYPES OF CLIENTS (Item 7)

Our firm generally provides advice to individuals, corporations, charitable organizations, and pension & profit sharing plans.

We prefer that clients make an initial minimum investment of \$250,000 for investment management services. We reserve the right to waive the minimum investment requirement based on other criteria and in our sole discretion.

METHODS OF ANALYSIS, INVESTMENT STRATEGIES, AND RISK OF LOSS (Item 8)

Methods of Analysis and Investment Strategies

Great Bay Wealth Management generally utilizes fundamental analysis methods to analyze investments. Our main sources of information include but are not limited to financial newspapers and magazines, inspection of corporate activities, research materials prepared by others, and annual reports, prospectuses, and corporate press releases.

Fundamental analysis consists of analyzing financial statements of companies, calculating financial ratios, and reviewing cyclical trends of industries in conjunction with monetary policy indicators to assess the overall performance and profitability of companies.

Our investment strategies consist of suitable asset allocation, diversification, and risk management. Our general recommendations consist of an asset mix of passive, low-cost exchange traded funds for long-term growth and income. We may also utilize mutual funds, equities, and fixed income securities in similar investment strategies. Based on the appropriate strategy for the client, we may recommend more tactical, short-term, and other hedging strategies.

Material Risks of Methods of Analysis and Investment Strategies

Furthermore, although we utilize common investment analysis methods and strategies, there remains some level of material risk. We primarily utilize fundamental analysis methods that measure the risks of companies by formulating assumptions based on historical financial representations. Although we use valid data sources, examine expense ratios, review return and risk ratings extensively, refer to economic indicators, review the implications of monetary policy, and consider management team tenure, our strategies are implemented as a result of the assumptions derived from the analysis of historical data. The results of investment strategies derived from this method of analysis are not guaranteed and past performance of investment is not indicative of future financial returns.

Notwithstanding the method of analysis or investment strategy employed by our firm, the assets within your portfolio are subject to risk of devaluation or loss. We want you to be aware that there are many different events that can affect the value of your assets or portfolio including, but not limited to, changes in financial status of companies, market fluctuations, changes in exchange rates, trading suspensions and delays, economic reports, and natural disasters. While this information provides a synopsis of the events that may affect your investments, this listing is not exhaustive. **We want you to understand that there are inherent risks associated with investing and depending on the risk occurrence, you may suffer LOSS OF ALL OR PART OF YOUR PRINCIPAL INVESTMENT.**

Recommendation of Specific Types of Securities

We do not focus our advice on, or make recommendations relative to, any particular type of security. Our advice encompasses an array of securities and investment vehicles.

DISCIPLINARY INFORMATION (Item 9)

Neither Great Bay Wealth Management nor its management has been involved in legal or disciplinary events related to our advisory business.

OTHER FINANCIAL INDUSTRY ACTIVITIES AND AFFILIATIONS (Item 10)

Financial Industry Activities

Great Bay Wealth Management is not a registered broker-dealer and does not have an application pending for registration as a broker-dealer. Additionally, the Firm's management and investment advisor representative is not registered as, and does not have an application pending to register as a securities salesperson.

Financial Industry Affiliations

Neither our firm management nor investment advisor representative is registered as, and does not have an application pending to register as, a Futures Commission Merchant, Commodity Pool Operator, or Commodity Trading Advisor, or associated person thereof.

Other Affiliations

We do not have arrangements with a related person that is a broker dealer, municipal securities dealer, government securities dealer or broker, investment company or other pooled investment vehicle (including mutual fund, closed-end investment company, unit investment trust, private investment company, or "hedge fund," and offshore fund), other investment advisor or financial planner, futures commission merchant, commodity pool operator, or commodity trading advisor, banking or thrift institution, accountant or accounting firm, lawyer or law firm, pension consultant, real estate broker or dealer, sponsor or syndicator of limited partnerships.

Other Investment Advisers

We do not as a current business practice recommend, or select other investment advisors for our clients.

CODE OF ETHICS, PARTICIPATION, OR INTEREST IN CLIENT TRANSACTIONS AND PERSONAL TRADING (Item 11)

Code of Ethics

Great Bay Wealth Management, its management, and representative(s) (collectively, "personnel") subscribe to a strict code of ethics. Our Code of Ethics is constructed to comply with the investment advisory laws and regulations that require firms to act as fiduciaries in transactions with their clients. Our inherent fiduciary duty requires that we act solely in our clients' best interest and adhere to standards of utmost integrity in our communications and transactions. These standards ensure that our clients' interests are given precedence.

Accordingly, we have implemented extensive policies, guidelines, and procedures that promote ethical practices and conduct by all of our firm's personnel. The foregoing has been compiled and is collectively referred to as our Code of Ethics. We adopted our Code of Ethics to specify and prohibit certain types of transactions deemed to create conflicts of interest (or perceived conflicts of interest), as well as to establish reporting requirements and enforcement procedures relating to personal transactions by our personnel. Our Code of Ethics which specifically deals with professional standards, insider trading, personal trading, gifts and entertainment, and fiduciary duties, establishes our ideals for ethical conduct based upon fundamental principles of openness, integrity, honesty, and trust. We will provide a copy of our complete Code of Ethics to any client or prospective client upon request.

Participation or Interest in Client Transactions

We do not recommend that clients buy or sell for their accounts, securities in which a related person may have material financial interest.

Personal Trading

Proprietary Trading

We may at times, buy or sell securities for our own accounts that we have also recommended to clients. We will always document any transactions that could be construed as a conflict of interest. Conflicts of interest relative to the firm's or its employees' personal trades may present in many different contexts. Some conflicts of interest related to personal trading include, trading ahead to obtain a better transaction execution price than clients, recommendations or trades based on pecuniary interest or on information that is not available to the public, or structuring transactions in a manner so that the end result is profitable to the firm (and/or an employee). To mitigate or remedy any conflicts of interest or perceived conflicts of interest, we monitor internal trading reports for adherence to our Code of Ethics.

The firm's Code of Ethics further requires (1) the firm and its to employees act in accordance with all applicable federal and state regulations (2) all employees to act in the best interest of clients, (3) pre-clearance of transactions in private placements or initial public offerings and, (3) the chief compliance officer to review personal securities transactions to ensure adherence.

Simultaneous Trading

From time to time, the Firm and its representative(s) may buy or sell securities for proprietary or personal accounts, respectively, at or around the same time as clients. In any instance where securities are bought or sold at or about the same time, we will uphold our fiduciary duty by always ensuring that transactions are beneficial to the interest of our clients and in compliance with our Code of Ethics.

BROKERAGE PRACTICES (Item 12)

Selection and Recommendation

We recommend account custodians after evaluating several factors, these factors include but are not limited to, relatively low fees and expenses, execution capabilities, reputation, access to securities markets and expertise in handling brokerage support processes. We maintain a custodial services agreement with Charles Schwab & Co., Inc., (hereinafter, "Schwab"). Schwab is a registered broker-dealer, member of FINRA and SIPC and we are participants of Schwab's institutional services platform for independent Investment Advisors. Schwab provides brokerage, operational support and other custodial services to our firm. As a result of our established services agreement, cost implications, operational support, and custodial services provided, Schwab receives preferential status in recommendation of custodians for our clients' advisory transactions.

1. Soft Dollar Benefits

We do not receive research or other products or services (i.e., soft dollar benefits) from broker-dealers in exchange for placing trades or processing other securities related transactions for clients.

2. Brokerage for Client Referrals

Our firm does not receive client referrals from broker-dealers or other third parties in exchange for using any particular broker-dealer.

3. Directed Brokerage

(a) As previously stated, we recommend that clients utilize Schwab. Our service agreement with Schwab is designed to maximize efficiency and cost effectiveness on behalf of our clients. By recommending that clients use Schwab as an account custodian, we seek to achieve the most favorable results relative to trading costs, allocation of funds, and rebalancing of client investments.

(b) Great Bay permits clients to direct brokerage. If a client prefers a certain custodian, we will notify the custodian of our advisor-client relationship and proceed accordingly. Clients are advised that there may be greater costs associated with brokerage transactions pursuant to a directed arrangement.

Order Aggregation

In the normal course of our trading process, we will aggregate orders for multiple advisory accounts to obtain the best pricing averages and minimize trading costs for our clients. Accordingly, our policies and procedures mandate allocating the orders to the appropriate client accounts as soon as possible thereafter and allocating transactions equitably. The Chief Compliance Officer will review transactions periodically to detect and prevent excessive costs related to non-compliance with order aggregation procedures.

REVIEW OF ACCOUNTS (Item 13)

Periodic Reviews

Great Bay Wealth Management's criterion for reviewing client accounts is as follows:

Investment Management Reviews

Steve Johnson will review client account activity quarterly and more frequently at the request of any client. Our reviews consist of determining whether client portfolios and strategies continue to align with stated investment goals and objectives. If reallocation of investments is necessary, we sell underperforming investments or buy new investments that are more appropriate for a client's investment strategies.

Intermittent Review Factors

Intermittent reviews are generally triggered by substantial market fluctuation, economic, business, or political events, or by changes in a client's financial status (such as retirement, termination of employment, relocation, or inheritance). Clients should contact the Firm to initiate an intermittent review upon the occurrence of any of the foregoing factors.

Client Reports

We do not prepare separate written reports regarding accounts. Clients will receive quarterly statements from the account custodian, detailing account activity, fee deductions, holdings, and performance.

CLIENT REFERRALS AND OTHER COMPENSATION (Item 14)

Economic Benefits for Advisory Services

Our firm does not receive or have arrangements to receive economic benefits (such as sales awards or other prizes) from any third party for providing investment advice or other advisory services to our clients.

Compensation for Client Referrals

We do not compensate any person who is not a supervised person for client referrals.

CUSTODY (Item 15)

Custodian of Assets

Our firm does not hold physical custody of client funds or securities. We require that qualified custodians hold client assets. For more information regarding the broker-dealer custodian that services our accounts, please review the Brokerage Practices section (Item 12). Our firm has indirect custody of client funds and securities because of our authorization and ability to deduct advisory fees directly from clients' accounts; nonetheless, we have implemented the safeguard requirements of state regulations by requiring safekeeping of client funds and securities by a qualified custodian.

Account Statements

The account custodian will send (by mail or electronically) monthly statements for each month in which there is activity (purchases or sells). If there is no activity during any month, clients will receive statements quarterly. Clients are advised to review these statements carefully; comparing asset values, holdings, and advisory fees on statement to that in previously received statements, transaction confirmations, and fee invoices.

INVESTMENT DISCRETION (Item 16)

Discretionary Authority

It is customary for our firm to exercise discretionary authority in order to manage and direct the investments of clients' accounts. This authority is granted upon execution of our Investment Management Agreement. Discretionary authority is for the purpose of making and implementing investment decisions without prior consultation with clients. Investment decisions are made in accordance with the client's stated investment objectives and clients may at any time during our engagement, advise us in writing of any limitations on our authority. Clients may impose limitations on investing in securities in specific industries or countries, etc., and dollar amounts or percentage of, investments in the foregoing.

Standard Limitations

Our discretionary authority does not give authority to take or have possession of any assets in a client's account or to direct delivery of any securities or payment of any funds held in the account. Furthermore, our authority by agreement does not allow us to direct the disposition of such securities or funds to anyone except the account owner.

VOTING CLIENT SECURITIES (Item 17)

Our firm does not participate in proxy voting on behalf of clients. We may provide information for clarification of the issues presented in proxy solicitation materials; however, our clients are responsible for casting their own proxy votes. Clients are also responsible for directing other shareholder action items relative to mergers, acquisitions, tender offers, bankruptcy proceedings and other type events pertaining to the securities in the account(s). Clients will receive proxy solicitation and information regarding other shareholder action items, by mail or electronically, from the account custodian. Clients must follow the instructions for voting that is included with the mailing or electronic delivery.

FINANCIAL INFORMATION (Item 18)

Balance Sheet Requirement

We do not require or solicit prepayment of more than \$500 in advisory fees per client, six (6) months or more in advance. Moreover, the Firm does not meet any custody provisions that would require submitting its balance sheet.

Discretionary Authority, Custody of Client Funds or Securities and Financial Condition

It is our customary practice exercise discretionary authority with respect to supervising and directing the investments in client accounts. Additionally, we have indirect custody of client funds and securities because of our authorization and ability to deduct advisory fees directly from clients' accounts. More importantly, we do not have any financial condition that will impair our ability to meet our contractual commitments to clients.

Bankruptcy Petition Filings

Great Bay Wealth Management has not been the subject of a bankruptcy petition at any time during the past ten (10) years.

REQUIREMENTS FOR STATE REGISTERED ADVISERS (Item 19)

Firm Management

Great Bay Wealth Management has one member, Stephen D. Johnson. Steve's education and business background is delineated in his attached Brochure Supplement.

Other Business Activities

Neither the Firm nor its management conduct any other business activities.

Performance-Based Fees

We do not assess performance-based fees. The management or supervised persons of our firm do not receive performance-based compensation from advisory clients.

Disciplinary Disclosure Reporting

1. Arbitration Claims. NONE
2. Civil Litigation, Self Regulatory Organization proceedings, or Administrative actions. NONE

Relationships or Arrangements with Securities Issuers

Neither Great Bay Wealth Management nor its management has additional relationships or arrangements with any issuers of securities.

This Brochure supplement provides information about Investment Advisor Representative, Stephen D. Johnson, CRD No. 1238003 that supplements the firm Brochure of Great Bay Wealth Management LLC IARD No. 170686. You should have received a copy of that brochure. Please contact Stephen D. Johnson (contact information below), if you did not receive the Great Bay Wealth Management Brochure or if you have any questions about the contents of this supplement.

Additional information about Investment Advisor Representative, Stephen D. Johnson, CRD No. 1238003 can be found on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov. This website can be searched by using the investment advisor representative's CRD number (shown above).



BROCHURE SUPPLEMENT

for

Stephen D. Johnson, CIMA®

Great Bay Wealth Management LLC

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Roswell, Georgia 30075

Phone: 770-265-9489

Fax: 678-795-0589

Email: sjohnson@gbwm.com

June 19, 2017

BROCHURE SUPPLEMENT for Stephen D. Johnson, CRD No. 1238003

EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE (Item 2)

Great Bay Wealth Management' Requirements for Representative Employment

We require that employees who provide advice on behalf of the firm have at least, a 4-year college degree, 2 years relevant work experience in the securities industry, and have taken and passed the appropriate state advisory exams. Master's degrees and further certifications are strongly encouraged.

Investment Advisor Representative's Information

Stephen D. Johnson, CIMA®

Year of Birth: 1955

Educational Background

University of Texas at Austin, Austin, Texas, Bachelor of Art - Government, 1978

Professional Designations

Certified Investment Management Analyst (CIMA®) Designation, Investment Management Consultants Association® (IMCA®), 2003

Investment Management Consultants Association® (IMCA®) is the owner of the certification marks "CIMA®" and "Certified Investment Management Analyst®". Use of CIMA® or Certified Investment Management Analyst® signifies that the user has successfully completed IMCA's initial and ongoing credentialing requirements for investment management consulting, including advanced investment management theory and application wealth advisors.

Education and Experience Requirements - Prerequisites for the CIMA certification are three (3) years of financial services experience and an acceptable regulatory history as evidenced by FINRA Form U-4 or other regulatory requirements.

Education Requirements - Candidates must meet all of the following criteria for credentialing and certification, candidates must pass an online qualification examination, successfully complete a one-week classroom education program provided by a Registered Education Provider at an AACSB accredited university business school, and pass an online Certification Examination.

Examination Type - The Certified Investment Management Analyst® designation requires two (2) exams, one-week classroom education program, and continuing education requirements of forty (40) hours every two (2) years.

Business Experience

President, Investment Advisor Representative *2017 to Present*
& Chief Compliance Officer
Great Bay Wealth Management LLC, Roswell, Georgia

Registered Representative *2016 to 2017*
Quest Capital Strategies, Inc., Atlanta Branch

President, Investment Advisor Representative *2014 to 2016*
& Chief Compliance Officer
Great Bay Wealth Management LLC, Roswell, Georgia

Vice President & Senior Regional Director *2011 to 2013*
Southeastern Region
Registered Representative

(continued from above)
ING Investment Management
Private Wealth & Advisory Group, New York, NY

Unemployed

2010 to 2010

Sales & Management
Registered Representative
Dreyfus/Bank of New York Mellon, New York, NY

1997 to 2010

DISCIPLINARY INFORMATION (Item 3)

Criminal or Civil Actions
None. See Item 19 of Brochure.

Administrative Actions or Proceedings
None. See Item 19 of Brochure.

Self-Regulatory Organization (SRO) Proceedings
None. See Item 19 of Brochure.

Professional Standards Violations. None.

OTHER BUSINESS ACTIVITIES (Item 4)

Steve Johnson is not actively engaged in any other investment related or non-investment related business.

ADDITIONAL COMPENSATION (Item 5)

Mr. Johnson does not receive economic benefits from any third parties.

SUPERVISION (Item 6)

Steve Johnson is President, Chief Compliance Officer, and Investment Advisor Representative of the Firm. Mr. Johnson is responsible for providing advice to clients and administration of the firm's operations. The Firm administers supervision through application of its written supervisory policies and procedures. Mr. Johnson's contact information is on the cover page of this Brochure.

REQUIREMENTS FOR STATE REGISTERED ADVISERS (Item 7)

Additional IAR Disciplinary Events

1. Awards granted or findings of liability in consequential Arbitration Claims

None. See Item 19 of Brochure.

2. Awards granted or findings of liability in consequential Civil, SRO, or Administrative proceedings.

None. See Item 19 of Brochure.

IAR Bankruptcy Petition Filings

Steve Johnson has not been the subject of a bankruptcy petition at any time during the past ten (10) years.